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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT(S): Erich Gottwald DOCKET NO. P00,0760
SERIAL NO: 09/555,295 GROUP ART UNIT:
FILED: May 26, 2000 EXAMINER:

INVENTION: METHOD AND APPARATUS FOR ADJUSTING TILTING
AND LEVEL OF OPTICAL SIGNALS

Assistant Commissioner for Patents,
Washington D.C. 20231

INFORMATION DISCLOSURE STATEMENT

According to 37 C.F.R. §1.97(b)

Sir:

In accordance with the provisions of 37 C.F.R. §1.56 and the requirements of 37 C.F.R. §1.98, Applicant respectfully requests that a citation and examination of the references identified on the attached PTO 1449 form be made during the course of examination of the above-identified application for United States Patent.

The present Information Disclosure Statement is being filed according to 37 C.F.R. §1.97(b) and before the latter occurrence of:

- (1) three months from the filing date of a national application;
- (2) three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- or
- (3) the mailing date of a first Office Action on the merits.

REMARKS

The attached PTO 1449 form lists related art references for the above identified application, described in the first two paragraphs of the specification.

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| AM | Reinhold Ludwig, "Optische Halbleiterlaser-Verstärker in zukünftigen LWL-Übertragungssystemen", ntz bd. 43, (1990) Heft 1, pages 8-13 |
| AN | B. Clesca, et al., "Gain Flatness Comparison Between Erbium-Doped Fluoride and Silica Fiber Amplifiers With Wavelength-Multiplexed Signals, IEEE Photonics Technology Letters, Vol. 6, No. 4, (1994) |

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Signals, IEEE Photonics Technologie Letters, Vol. 6, No. 4, (1994)
pages 509-512

EXPLANATION OF RELEVANCE

References AM and AN were cited and discussed in the specification of the above-referenced application, so no further commentary on their teaching is necessary.

The filing of the present Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed as an admission that the information cited in the present Information Disclosure Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The above citation of prior art is not a representation that such art constitutes a complete or exhaustive listing of all pertinent prior art, nor that it necessarily includes the closest or most relevant art. The aforementioned citation comprises a voluntary citation of prior art of which applicant and his attorney are presently aware and is not intended to serve as a substitute for the Examiner's own search.

Submitted by,

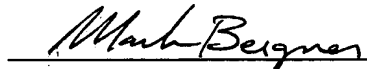


(Reg. No. 45,877)

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. on September 8, 2000.



Attorney for Applicant